

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Gerhard Wötting, Bernhard Caspers, Jurgen Hennicke, Hans-Jurgen Thoma, and Lutz Frassek

Application No./Patent No.: 10/523,567 Filed/Issue Date: August 29, 2005

Entitled: HIGHLY SHOCK-RESISTANT CERAMIC MATERIAL

H. C. Starck GmbH & Co. KG, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Gerhard Wötting ET AL. To: HC STARCK GMBH
The document was recorded in the United States Patent and Trademark Office at Reel 016973, Frame 0332, or for which a copy thereof is attached.
2. From: H. C. Starck GmbH To: Bayer Beteiligungsverwaltung Goslar GmbH
The document was recorded in the United States Patent and Trademark Office at Reel 019604, Frame 0833
3. From: Bayer Beteiligungsverwaltung Goslar GmbH To: H. C. Starck GmbH & Co. KG
The document was recorded in the United States Patent and Trademark Office at Reel 019197, Frame 0734

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Ashley I. Pezzner
Signature

8/9/07
Date

Ashley I. Pezzner
Printed or Typed Name

302-888-6270
Telephone Number

Title

Statement Under 37 CFR 3.73(b) Supplemental Sheet

H.C. Stark GmbH & Co. KG's entire business has been transferred to H.C. Starck GmbH by way of merger and accretion. H.C. Starck GmbH & Co. KG's previous operative business is now managed by H.C. Starck GmbH.

Attached is a copy of an excerpt from the commercial register of the deregistration H.C. Starck GmbH & Co. KG and a copy of the excerpt from the commercial register of the registration H.C. Starck GmbH. The applicant is in the process of recording this paper.

Certified Translation from German

Commercial Register B of the Amtsgericht Braunschweig

Printout

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Number of the Firm:

HRA 200162

Regi- stration No.	a) Firm Name b) Domicile, Establishment, Branches c) Objective of the Company	a) General Representation b) Owners, General Partners, Managing Directors, Managing Board, Authorised Representatives and Special Power of Attorney	Prokura ³	a) Legal Form, Commencement, Articles of Association b) Other Legal Relations c) Limited Partners, Members	a) Registration Date b) Remarks
1	a) Hermann C. Starck GmbH & Co. KG b) Goslar	a) Each general partner holds sole power or representation. Each general partner is entitled go transact legal business on behalf of the Partnership with himself in his own name or as representative of a third party. b) General partner: H.C. Starck Verwaltungs-GmbH, Goslar (Amtsgericht Braunschweig, HRB 200307).	4	a) Limited Commercial Partnership b) Limited partner H.C. Starck GmbH, Goslar (Amtsgericht Braunschweig, HRB 110660) Contribution: 10.000,00 EURO	a) 12/07/2006 Sonthofen
2				b) Subject to the Spin-Off and Take-Over Agreement dated 15/09/2006 and to the resolutions of approval adopted in partners' meeting dated 15/09/2006 and the shareholders meeting of the transferring entity dated 15/09/2006 the Partnership has taken over parts of the assets of H.C. Starck GmbH with domicile in Goslar (Amtsgericht Braunschweig, HRB 110660) as a whole by way of transformation by spin-off. As to the assets to be spin-off it is hereby referred to the Spin-Off Agreement. The Spin-Off will not take effect before registration in the register folio of the transferring entity. c) After increase of the contribution by 990.000,00 EURO for the purpose of implementation of the spin-off of individual assets of H.C. Starck GmbH as transferring entity to Hermann C. Starck GmbH & Co. KG as entity taking over and limited partner as follows: Limited partners: H.C. Starck GmbH, Goslar (Amtsgericht Braunschweig, HRB 110660), contribution: 1.000.000,00 EURO b) The spin-off has taken effect upon registration in the register folio of the transferring entity, i.e. on 26/09/2006.	a) 25/09/2006 Fahndeck b) Agreements and approvals folio 26 ff. Special Volume
3					a) 26/09/2006 Fahndeck
4	a) Due to the alteration of the firm name now H.C. Starck GmbH & Co. KG			c) The limited partner H.C. Starck GmbH changed the firm name into Bayer Betriebsverwaltungs Goslar GmbH. Now limited partner: Bayer Betriebsverwaltung Goslar GmbH, Goslar (Amtsgericht Braunschweig, HRB 110660), contribution: 1.000.000,00 EURO.	a) 26/09/2006 Fahndeck

Regi- stration No.	a) Firm Name b) Domicile, Establishment, Branches 2	a) General Representation b) Owners, General Partners, Managing Directors, Managing Board, Authorised Representatives and Special Power of Attorney 3	Prokur ² 4	a) Legal Form, Commencement, Articles of Association b) Other Legal Relations c) Limited Partners, Members 5	a) Registration Date b) Remarks 6
1					
5				<p>c) By way of subrogation of Bayer Betriebsverwaltungs Goslar GmbH joined as limited partner: limited partner: Drachenfelssee 482 VV GmbH, Frankfurt a.M. (Amtsgericht Frankfurt a.M. ³ HRB 78950), contribution 1.000.000,00 EUR Withdrawal as limited partner: Withdrawn limited partner: Bayer Betriebsverwaltungs Goslar GmbH, Goslar (Amtsgericht¹ Braunschweig HRB 110660)</p> <p>b) The partnership assets, i.e. all of the assets and liabilities, passed to the sole limited partner H.C. Starck GmbH by way of accrual. H.C. Starck GmbH will not continue the firm. So the partnership has been dissolved and has expired without liquidation.</p> <p>c) Due to the transfer of the domicile from Frankfurt am Main to Goslar and due to the change of the firm name now limited partner: H.C. Starck GmbH, Goslar (Amtsgericht Braunschweig HRB200743), Contribution: 1.000.000,00 EURO.</p>	<p>a) 28/02/2007 Schoit</p> <p>a) 07/06/2007 Fahndieck</p>

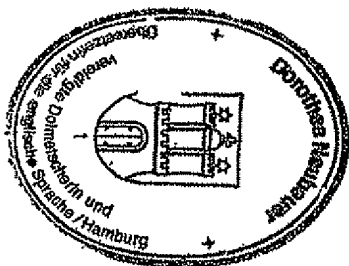
¹ Approximately Braunschweig Local Court
² Approx. General Commercial Power of Attorney
³ Approximately Frankfurt Local Court
(translator's notes)

The above translation is certified to be true and complete. The German text submitted for translation was the attached printout (2 pages).

Hamburg, 23 June 2007

D. Neubauer

Dorothea Neubauer
Sworn translator of the Free and
Hanseatic City of Hamburg



Translation from German

Commercial Register B of the Amtsgericht Braunschweig

Printout
 Fetched on 29th May 2007 at 15:27 hours

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 Number of the Firm: HRB 200743

Registration No.	a) Firm Name b) Domicile c) Objective of the Company	Nominal or Share Capital	a) General Representation b) Board of Management, Governing Body, Managing Directors, General Partner, Chief Executives, Authorised Representatives and Special Power of Attorney	Prokura ³	a) Memorandum and Articles of Association b) Other Legal Relations	a) Registration Date and Confirmation b) Remarks
1	2	3	4	5	6	7
1	<p>a) H.C. Starck GmbH</p> <p>b) Goslar</p> <p>c) The objective of the Company is the acquisition and holding of interests and the provision of services against payment to third parties and associated companies, in particular to holding and sister companies. These services may include, among others, management, brokerage, marketing and consulting services and also financial services. Within the scope of the financial services the Company may particularly finance its subsidiaries by granting them members' loans or it may arrange for credits by third parties, however, the Company may not perform banking activities within the meaning of the Banking Act. The services may be rendered both by the Company's own employees and by the resale of purchased services.</p>	<p>10,000,000.00 EUR</p>	<p>a) If only one managing director has been appointed, he shall solely represent the Company. If several Managing Directors have been appointed, the Company shall be represented by two Managing Directors or by one Managing Director acting jointly with a Prokurist².</p> <p>b) Managing Director: Behn, Gregor Andreas, Munich, * 13/10/1964, authorised to enter into legal transactions on behalf of the Company with himself as representative of a third party.</p> <p>Managing Director: Plunne, Wilhelm, Bad Homburg v.d. Höhe, * 15/09/1952, authorised to enter into legal transactions on behalf of the Company with himself as representative of a third party.</p>		<p>a) Company with Limited Liability Articles dated 6th November 2006</p> <p>The Shareholders' Meeting dated 10th Nov. 2006 with the Addendum dated 15th Dec. 2006 adopted the resolution to amend the Articles in § 1 (Domicile), i.e. the transfer of the domicile from Bonn (formerly Amtsgericht Bonn⁴, HRB 14897) to Frankfurt am Main and in § 2 (Objective of the Company).</p> <p>The Shareholders' Meeting dated 30th Jan. 2007 adopted the resolution to increase the share capital by 9,975,000.00 EUR and to amend § 3 of the Articles (Share Capital) and § 1 sentence 1 (Firm Name).</p> <p>Moreover, the Shareholders' Meeting dated 30th Jan. 2007 adopted the resolution to amend § 1 of the Articles (... Domicile) thus deciding to transfer the domicile from Frankfurt am Main (as yet Amtsgericht Frankfurt am Main⁵ HRB 78356) to Goslar</p>	<p>a) 20/04/2007 Fahltrack</p>

Registration No.	a) Firm Name b) Domicile c) Objective of the Company	Nominal or Share Capital	a) General Representation b) Board of Management, Governing Body, Managing Directors, General Partner, Chief Executives, Authorised Representatives and Special Power of Attorney	Prokura ³	a) Memorandum and Articles of Association b) Other Legal Relations	a) Registration Date and Confirmation b) Remarks
1	2	3	4	5	6	7
2			b) With H.C. Starck Holding (Germany) with domicile in Goslar (Amtsgericht Braunschweig HRB 200744) as controlling company a profit transfer agreement was concluded on 24 th April 2007. This agreement was approved by the Shareholders' Meeting on 25 th April 2007.			10 th May 2007 Rösel
3			b) Ceased to be Managing Director: Behm, Gregor, Munich, * 13/10/1964 Ceased to be Managing Director: Plumme, Wilhelm, Bad Honburg v.d. Höhe, *15/09/1952 Appointed as Managing Director: Dr. Heunmüller, Heinz, Goslar, * 08/02/1951, holding sole power of representation, authorised to enter into legal transactions on behalf of the Company with himself as representative of a third party. Appointed as Managing Director: Jung, Ernst-Norbert, Bad Harzburg, *10/03/1950, holding sole power of representation, authorised to enter into legal transactions on behalf of the Company with himself as representative of a third party.			a) 23/05/2007 Fahl dieck
4			b) As acquiring legal entity the Company, subject to the Merger Agreement dated 03/05/2007 and the approvals of the shareholders' meeting dated 03/05/2007 and the shareholders' meeting of the transferring legal entity dated 03/05/2007 has been merged with H.C. Starck Verwaltungs-GmbH with domicile in Goslar (Amtsgericht Braunschweig, HRB 200307).			29/05/2007 Fahl dieck

¹ Approximately Braunschweig Local Court

² Holder of Prokura, i.e. of General Commercial Power of Attorney

³ Approx. General Commercial Power of Attorney

⁴ Approximately Bonn Local Court

⁵ Approximately Frankfurt Local Court
(translator's notes)

Translated by

Dorothea Neubauer, Hamburg